## SEC Form 4

Instruction 1(b).

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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

						r Name <b>and</b> Tick RIS SOLUT			ck all applic Directo	tionship of Reporting Person all applicable) Director		10% Owner				
(Last) (First) (Middle) 101 BULLITT LANE, SUITE 450					3. Date of Earliest Transaction (Month/Day/Year) 04/01/2023							below)	(give title President	e Other (s below) ent and Treasurer		
I F					4. If Amendment, Date of Original Filed (Month/Day/Year)							Form fi Form fi	vidual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(City) (State) (Zip) Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned														d to satisfy	
1. Title of Security (Instr. 3) Date (Month/D					saction 'Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year	Code	Transaction Code (Instr.		es Acquire Of (D) (Inst	d (A) or r. 3, 4 and	A) or , 4 and Securities Beneficial Owned Fo Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
							Code	v	Amount (A) or (D)		Price	Transaction(s) (Instr. 3 and 4)				
Common Stock												315	,954		D	
			Table II -			curities Acqu ls, warrants,						Owned				
1. Title of 2	,	3. Transaction	3A. Deeme	1   4	ı	5 Number 6	Number 6. Date Exercisable and 7. Title and Ar				8 Price of	9 Number	of	10.	11. Nature	

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8) Code (Instr. 8) Code (Instr. Code (Instr. 8) Code (Instr. 1) Code (Instr. 1)		ive ies ed ed nstr.	6. Date Exerci Expiration Dat (Month/Day/Ye	e	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Options (Right to Buy) <sup>(1)</sup>	\$1.97 <sup>(2)</sup>	04/01/2023		Α		50,000		04/01/2026 <sup>(3)</sup>	03/31/2028	Common Stock	50,000	\$0.00 <sup>(4)</sup>	50,000	D	

Explanation of Responses:

1. Options granted pursuant to the 2020 Sypris Omnibus Plan.

2. Grant date falls on a weekend, therefore exercise price is the closing price of Sypris common stock on the prior trading day.

3. Vesting is 100% on the third anniversary of the grant date.

4. The only consideration for which is service as an employee.

Remarks:

Andrea J. Luescher by Power of Attorney on file with the 04/04/2023

**Commission** 

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.